

# TWOFOLD UNBRANCHED COVERINGS OF GENUS TWO 3-MANIFOLDS ARE HYPERELLIPTIC

BY

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## ABSTRACT

A closed 3-dimensional manifold is hyperelliptic if it admits an involution such that the quotient space of the manifold by the action of the involution is homeomorphic to the 3-sphere. We prove that a twofold unbranched covering of a genus two 3-manifold is hyperelliptic. This result is reminiscent of a theorem, which seems to have first appeared in a paper by Enriques and which has been reproved more recently by Farkas and Accola, which states that a twofold unbranched covering of a Riemann surface of genus two is hyperelliptic.

## 1. Introduction

A closed Riemann surface is said to be **hyperelliptic** if it admits a conformal involution such that the quotient space of the surface by the action of the involution is the 2-sphere  $S^2$ . Many properties of hyperelliptic Riemann surfaces are well studied in complex analysis. For example, it is known that any Riemann surface of genus two is hyperelliptic and it admits a unique hyperelliptic involution. Another interesting result, which seems to have first appeared in a paper

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by Enriques [5] and which has been reproved more recently by Farkas [6] and Accola [1], is the following:

*A twofold unbranched covering of a Riemann surface of genus two is hyperelliptic.*

It should be said that most of twofold unbranched coverings of a Riemann surface of genus greater than two are not hyperelliptic ([3], [7]; related papers are also [10], [9]).

If we turn to closed 3-dimensional manifold, it is convenient to adopt a topological definition of hyperelliptic involution: more precisely, we say that a closed 3-dimensional manifold is **hyperelliptic** if it admits an involution such that the quotient space of the manifold by the action of the involution is homeomorphic to the 3-sphere  $S^3$ ; in this case the involution is said to be a **hyperelliptic** involution.

In [11] it has been proved that in any of the eight three-dimensional geometries there exists a 3-manifold with a geometric hyperelliptic involution. If  $M$  is a closed hyperbolic 3-manifold, by Mostow's Rigidity Theorem, a hyperelliptic involution is equivalent to an isometric hyperelliptic involution. Even in the hyperbolic case a hyperelliptic involution is, in general, not unique (see [12], where an explicit bound on the number of hyperelliptic involutions of a hyperbolic 3-manifold is computed).

The class of 3-manifolds which naturally correspond to genus two Riemann surfaces is the class of genus two 3-manifolds. We recall that a genus  $n$  **Heegaard splitting** of a closed orientable 3-manifold  $N$  is a decomposition of  $N$  into a union  $V_1 \cup V_2$  of two handlebodies of genus  $n$  intersecting in their common boundary (Heegaard surface of the splitting). The **genus of**  $N$  is the lowest genus for which  $N$  admits a Heegaard splitting.

In this note we prove the following Theorem, which should be compared with the result quoted above:

**THEOREM:** *A twofold unbranched covering of a genus two 3-manifold is hyperelliptic.*

## 2. Proof of the Theorem

Before starting the proof we introduce here some terminology about bridge-presentations and abelian branched coverings of a link in  $S^3$ .

**BRIDGE-PRESENTATIONS OF A LINK.** An  $m$ -**bridge presentation** of a link  $L$  in  $S^3$  is a decomposition of the pair  $(S^3, L)$  into a union  $(B_1, a_1) \cup (B_2, a_2)$ , where  $B_i$  for  $i = 1, 2$  is a 3-ball and  $a_i$  is a set of  $m$  arcs which is trivial in  $B_i$ . We say that  $L$  is a 3-bridge link if  $m = 3$  is the minimal number  $m$  for which  $L$  admits an  $m$ -bridge presentation.

**ABELIAN BRANCHED COVERINGS OF A LINK.** Let  $L$  be an oriented link in  $S^3$  with  $n$  components  $K_i$  for  $1 \leq i \leq n$ . Let  $\pi_1(S^3 - L)$  be the fundamental group of the link complement. The link complement  $S^3 - L$  admits a universal abelian covering corresponding to the Hurewicz homomorphism  $\gamma: \pi_1(S^3 - L) \rightarrow H_1(S^3 - L)$ .

We note that  $H_1(S^3 - L)$  is a free abelian group of rank  $n$  and it is generated by the homology classes of  $n$  fixed oriented meridians  $m_1, \dots, m_n$  of  $K_1, \dots, K_n$ . For any abelian group  $A$  and epimorphism  $\beta: H_1(S^3 - L) \rightarrow A$  we can construct the abelian covering  $M(L)$  of  $S^3 - L$  corresponding to the epimorphism  $\beta \circ \gamma: \pi_1(S^3 - L) \rightarrow A$  and the abelian branched covering space  $M$  of  $S^3$  obtained by completion of  $M(L)$ : by an abuse of language we shall shortly say that  $M$  is an  **$A$ -branched covering** of  $L$ .

We are mainly interested in the case that  $A \cong \mathbb{Z}_2^r$  is an elementary abelian 2-group of finite rank  $r$  and  $\psi$  maps the  $n$  homology classes of the meridians  $m_1, \dots, m_n$  to nontrivial elements of  $A$ . Note that if the rank  $r$  of  $A$  is equal to the number  $n$  of components of  $L$ , then the corresponding  $A$ -covering is uniquely determined.

*Proof of the Theorem:* A genus two closed orientable surface admits a hyperelliptic involution  $I$  which has the property that for any homeomorphism  $f$  there is a homeomorphism  $f'$  isotopic to  $f$  such that  $If = f'I$  ([2], 4.4; [14]). So for any genus two Heegaard splitting of a genus two 3-manifold  $N$  there exists an orientation-preserving involution of  $N$ , which we shall call **strongly hyperelliptic**, which leaves invariant the Heegaard splitting and induces the hyperelliptic involution on the Heegaard surface. The quotient of  $N$  by this involution is topologically  $S^3$  and its branching locus is a link, say  $L$ . The Heegaard splitting of  $N$  naturally induces a 3-bridge presentation  $(B_1, a_1) \cup (B_2, a_2)$  of  $L$ , where  $(B_i, a_i)$  for  $i = 1, 2$  is the quotient of the handlebody  $V_i$ . Therefore  $L$  has one, two or three components. The number of components of  $L$  is equal to  $1 + \text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2)$  (see, for example, [13], Sublemma 15.4; or [4], page 135, E 9.5); thus the rank of  $H_1(N, \mathbb{Z}_2)$  is at most two.

If  $\text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2) = 0$  (this case actually occurs for genus two 3-manifolds) then  $N$  does not admit any twofold unbranched covering, since twofold un-

branched coverings of  $N$  correspond to epimorphisms of  $H_1(N, \mathbb{Z}_2)$  onto  $\mathbb{Z}_2$ . So we can assume that  $H_1(N, \mathbb{Z}_2)$  is not trivial and we need to consider only two cases:

(i)  $\text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2) = 1$  and  $N$  is the  $\mathbb{Z}_2$ -branched covering of a three-bridge link  $L$  with two components;

(ii)  $\text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2) = 2$  and  $N$  is the  $\mathbb{Z}_2$ -branched covering of a three-bridge link  $L$  with three components.

(i)  $L$  has two components

Let  $K_1$  and  $K_2$  be the two components of  $L$  with meridians  $m_1$  and  $m_2$ . Let  $M$  be the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -covering of  $L$ . By considering the action of the covering group  $A \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  on  $M$  we construct a diagram of abelian coverings.

The covering group  $A$  acts on  $M$  with branch set equal to the union of the preimages  $\tilde{K}_1$  and  $\tilde{K}_2$  of  $K_1$  and  $K_2$  in  $M$ . Our first step is to find the fixed point sets of the three involutions of  $A$ .

By construction, the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -covering  $M$  of  $L$  corresponds to the epimorphism  $\psi: H_1(S^3 - L) \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2$  such that  $\psi(m_1) = a$ ,  $\psi(m_2) = b$ , where  $a$  and  $b$  are two fixed generators of  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . So the involution, say  $u_1$ , of  $A$  corresponding to  $a$  fixes pointwise the preimage  $\tilde{K}_1$  of  $K_1$ ; the involution, say  $u_2$ , of  $A$  corresponding to  $b$  fixes pointwise the preimage  $\tilde{K}_2$  of  $K_2$ . We finally prove that the product  $(u_1 u_2)$  of the two involutions acts freely on  $M$ . Each point  $x$  of  $K_1$  has two distinct preimages  $\tilde{x}$  and  $\tilde{y}$  in  $\tilde{K}_1$ . The group  $A$  acts on the pair  $(\tilde{x}, \tilde{y})$ : the involution  $u_1$  fixes pointwise both  $\tilde{x}$  and  $\tilde{y}$ ; the two other involutions  $u_2$  and  $(u_1 u_2)$  interchange  $\tilde{x}$  and  $\tilde{y}$ . So neither  $u_2$  nor  $(u_1 u_2)$  fixes any point of  $\tilde{K}_1$ . An analogous argument holds for the preimage  $\tilde{K}_2$  of  $K_2$ : the involution  $u_2$  fixes pointwise  $\tilde{K}_2$ ; the two other involutions  $u_1$  and  $(u_1 u_2)$  do not fix any point of  $\tilde{K}_2$ . We conclude that the involution  $(u_1 u_2)$  does not fix any point of the branch set of  $\tilde{K}_1 \cup \tilde{K}_2$  of  $A$ , so it acts freely on  $M$ .

The quotient  $N_1$  of  $M$  by the action of  $u_2$  is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_1$  and it corresponds to the map  $\phi_1: H_1(S^3 - L) \rightarrow \mathbb{Z}_2$  such that  $\phi_1(m_1) = a$  and  $\phi_1(m_2) = 0$ , where  $a$  is a generator of  $\mathbb{Z}_2$ . The quotient  $N_2$  of  $M$  by the action of  $u_1$  is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_2$  and it corresponds to the map  $\phi_2: H_1(S^3 - L) \rightarrow \mathbb{Z}_2$  such that  $\phi_2(m_1) = 0$  and  $\phi_2(m_2) = a$ , where  $a$  is a generator of  $\mathbb{Z}_2$ . Finally, the quotient of  $M$  by the action of  $(u_1 u_2)$  is the  $\mathbb{Z}_2$ -branched covering  $N$  of  $L$  along  $K_1$  and  $K_2$  and it corresponds to the map  $\phi: H_1(S^3 - L) \rightarrow \mathbb{Z}_2$  such that  $\phi(m_1) = \phi(m_2) = a$ .

The diagram of coverings is represented in Figure 1. Note that in this case, since  $\text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2) = 1$ , the 3-manifold  $N$  has a unique unbranched  $\mathbb{Z}_2$ -

covering. This covering is  $M$  and it corresponds to the unique epimorphism of  $H_1(N, \mathbb{Z}_2)$  onto  $\mathbb{Z}_2$ .

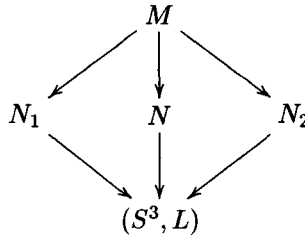


Figure 1

Since  $L = K_1 \cup K_2$  admits a 3-bridge presentation, one component of  $L$ , say  $K_1$ , admits a 1-bridge presentation and the second component,  $K_2$ , admits a 2-bridge presentation. But a knot with a 1-bridge presentation is trivial. So  $K_1$  is trivial and the  $\mathbb{Z}_2$ -branched covering  $N_1$  of  $L$  along  $K_1$  is  $S^3$ . The thesis follows from the fact that  $N_1$  is the quotient of  $M$  by the action of  $u_2$ , so the twofold unbranched covering  $M$  of  $N$  is hyperelliptic.

(ii)  $L$  has three components

Let  $K_1$ ,  $K_2$  and  $K_3$  be the three components of  $L$  with meridians  $m_1$ ,  $m_2$  and  $m_3$ . We construct a diagram of abelian coverings.

Consider first the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -branched covering  $R_{12}$  of  $L$  corresponding to the map  $\psi_{12}: H_1(S^3 - L) \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2$  such that  $\psi_{12}(m_1) = \psi_{12}(m_2) = a$ ,  $\psi_{12}(m_3) = b$ , where  $a$  and  $b$  are two fixed generators of  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . The covering group  $A_{12} \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  acts on  $R_{12}$  with branch set the union of the preimages  $\tilde{K}_1$ ,  $\tilde{K}_2$  and  $\tilde{K}_3$  of  $K_1$ ,  $K_2$  and  $K_3$ .

By an analysis analogous to the case of a link with two components we find the fixed point sets of the involutions of  $A_{12}$ . The fixed point set of one involution of  $A_{12}$ , say  $v_{12}$ , is  $\tilde{K}_3$ ; the fixed point set of a second involution  $u_{12}$  is  $\tilde{K}_1 \cup \tilde{K}_2$ ; finally, their product  $(u_{12}v_{12})$  acts freely on  $R_{12}$ . The quotient  $N_3$  of  $R_{12}$  by the action of  $u_{12}$  is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_3$  and it corresponds to the map  $\phi_3: H_1(S^3 - L) \rightarrow \mathbb{Z}_2$  such that  $\phi_3(m_1) = \phi_3(m_2) = 0$  and  $\phi_3(m_3) = c$ , where  $c$  is a generator of  $\mathbb{Z}_2$ . The quotient  $N$  of  $R_{12}$  by the action of  $(u_{12}v_{12})$  is the  $\mathbb{Z}_2$ -branched covering  $N$  of  $L$  along  $K_1$ ,  $K_2$  and  $K_3$  and it corresponds to the map  $\psi: H_1(S^3 - L) \rightarrow \mathbb{Z}_2$  such that  $\psi(m_1) = \psi(m_2) = \psi(m_3) = d$ , where  $d$  is a generator of  $\mathbb{Z}_2$ .

In an analogous way we can define the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -branched coverings  $R_{13}$ , respectively  $R_{23}$ , of  $L$  corresponding to the maps  $\psi_{13}: H_1(S^3 - L) \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2$  such that  $\psi_{13}(m_1) = \psi_{13}(m_3) = a$ ,  $\psi_{13}(m_2) = b$ , respectively,  $\psi_{23}: H_1(S^3 - L) \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2$

such that  $\psi_{23}(m_2) = \psi_{23}(m_3) = a$ ,  $\psi_{23}(m_1) = b$ , where  $a$  and  $b$  are two fixed generators of  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

The covering group  $A_{13} \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  acts on  $R_{13}$  with branch set the union of the preimages  $\tilde{K}_1$ ,  $\tilde{K}_2$  and  $\tilde{K}_3$  of  $K_1$ ,  $K_2$  and  $K_3$  in  $R_{13}$ . The fixed point set of one involution of  $A_{13}$ , say  $v_{13}$ , is  $\tilde{K}_2$ ; the fixed point set of a second involution  $u_{13}$  of  $A_{13}$  is  $\tilde{K}_1 \cup \tilde{K}_3$ ; hence their product  $(u_{13}v_{13})$  acts freely. The quotient  $N_2$  of  $R_{13}$  by the action of  $u_{13}$  is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_2$ ; the quotient of  $R_{13}$  by the action of  $(u_{13}v_{13})$  is  $N$ , which is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_1$ ,  $K_2$  and  $K_3$ .

Finally, the covering group  $A_{23} \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  acts on  $R_{23}$  with branch set the union of the preimages  $\tilde{K}_1$ ,  $\tilde{K}_2$  and  $\tilde{K}_3$  of  $K_1$ ,  $K_2$  and  $K_3$  in  $R_{23}$ . The fixed point set of one involution of  $A_{23}$ , say  $v_{23}$ , is  $\tilde{K}_1$ ; the fixed point set of a second involution  $u_{23}$  of  $A_{23}$  is  $\tilde{K}_2 \cup \tilde{K}_3$ ; hence their product  $(u_{23}v_{23})$  acts freely. The quotient  $N_1$  of  $R_{23}$  by the action of  $u_{23}$  is the  $\mathbb{Z}_2$ -branched covering of  $L$  along  $K_1$ ; the quotient of  $R_{23}$  by the action of the involution  $(u_{23}v_{23})$  is again  $N$ .

To complete this diagram of branched coverings it should be said (but we do not need it in the following) that the  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ -branched covering  $M$  of  $L$  is a  $\mathbb{Z}_2$ -covering of  $R_{12}$ ,  $R_{13}$  and  $R_{23}$  and a  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -covering of  $N$ ,  $N_1$ ,  $N_2$  and  $N_3$ .

The diagram of coverings in the case that the link  $L$  has three components is represented in Figure 2. Note that in this case, since  $\text{rank}_{\mathbb{Z}_2} H_1(N, \mathbb{Z}_2) = 2$ , the 3-manifold  $N$  has three twofold unbranched coverings arising from different epimorphisms of  $H_1(N, \mathbb{Z}_2)$  onto  $\mathbb{Z}_2$ . These coverings are exactly  $R_{12}$ ,  $R_{13}$  and  $R_{23}$ .

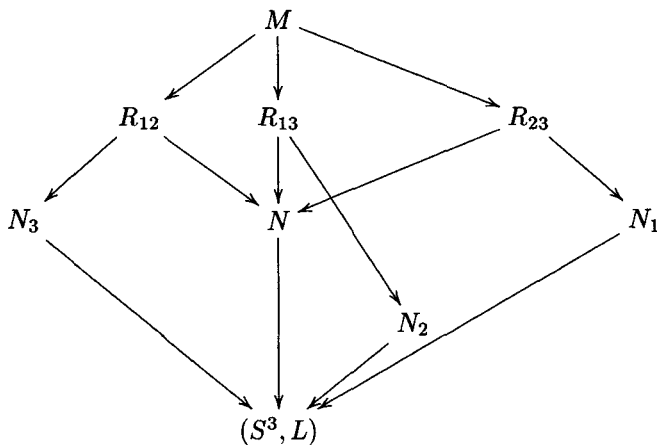


Figure 2

Since  $L = K_1 \cup K_2 \cup K_3$  admits a 3-bridge presentation, each of its components

$K_1$ ,  $K_2$  and  $K_3$  admits a 1-bridge presentation, hence it is trivial. So the  $\mathbb{Z}_2$ -branched covering  $N_i$  of  $L$  along  $K_i$  for  $i = 1, 2, 3$  is  $S^3$ . The thesis follows from the fact that  $N_i$  is the quotient of  $R_{jk}$  by the action of an involution, so any twofold unbranched covering  $R_{jk}$  of  $N$  is hyperelliptic.

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